



SGIP
401 Edgewater Place Suite 600
Wakefield, MA 01880
P: +1-781-876-8857
F: +1-781-623-0740
sgip.org

SGIP Membership Application

Please complete and submit an executed (signed) counterparts of this application.

Membership Term: Membership is on a pro-rated calendar basis for memberships \$10,000 and above. All other membership levels are on an anniversary basis from the date the membership commences.

Mail to:
SGIP
401 Edgewater Place, Suite 600
Wakefield, MA 01880

Email to: membership@sgip.org

SGIP is a not-for-profit membership corporation incorporated in the state of Delaware which intends to apply for exemption from taxation in the United States under Internal Revenue Service Code Section 501(c)3.

Company Applicant Information

Company Name: _____

Company Address: _____

Company URL: _____

Contact Information

Primary Membership Contact

Name: _____

Title: _____

Address: _____

Email: _____

Phone: _____ Fax: _____



SGIP
 401 Edgewater Place Suite 600
 Wakefield, MA 01880
 P: +1-781-876-8857
 F: +1-781-623-0740
sgip.org

**SGIP
 Membership Application**

Public Relations Contact

Name: _____
 Title: _____
 Email: _____
 Phone: _____ Fax: _____

Additional Company Representatives Information

Alternate Representative

Name: _____
 Title: _____
 Email: _____
 Phone: _____ Fax: _____

Company Representatives - Applicants may designate additional representatives (aside from Primary and/or Alternate Representative) to participate in SGIP on its behalf. The following persons are so designated.

(Principal – unlimited, Affiliate – up to 20, Associate – up to 10, Supporter – up to 10 additional)

Name: _____ Phone: _____ Title: _____ Email: _____
 Name: _____ Phone: _____ Title: _____ Email: _____
 Name: _____ Phone: _____ Title: _____ Email: _____
 Name: _____ Phone: _____ Title: _____ Email: _____
 Name: _____ Phone: _____ Title: _____ Email: _____
 Name: _____ Phone: _____ Title: _____ Email: _____
 Name: _____ Phone: _____ Title: _____ Email: _____
 Name: _____ Phone: _____ Title: _____ Email: _____
 Name: _____ Phone: _____ Title: _____ Email: _____
 Name: _____ Phone: _____ Title: _____ Email: _____

To add additional representatives, please send their name, phone number, title and email address to membership@sgip.org.



SGIP Membership Application

Membership Level & Dues

Please select a membership level below based on revenue. Descriptions of the benefits included in each level are listed in Table 1. *(Associate & Supporter members, please select a type below).*

	Principal		\$20,000	Companies with Greater than \$500 Million in Revenue
	Affiliate		\$10,000	Companies with Less than \$500 Million in Revenue
	Associate	Gov't <hr/> Associations <hr/> ISOs <hr/> Small Business <hr/> Muni/Coop <hr/> International	\$3,000	Companies with Less than \$10 Million in Revenue Any business entity that does not operate within North America is eligible to apply to become an International Member.
	Supporter	Companies with less than 5 employees <hr/> Universities <hr/> Utility Regulators	\$1,000	Companies with Less than \$5 Million in Revenue

Industry

Please select your company's industry below.

- | | | |
|-----------------------------|---|----------------------|
| Association/Society | Gas Utility | Lab/Research |
| Bank/Financial Services | Management Consultant | Educational/Academic |
| Contractor/Constructor | Integrated Gas Utility and Gas Pipeline | Law Firm |
| Developer/Independent Power | Investor Owned Utility | Vendor |
| Producer | Water Utility | Government |
| Energy Consultant | Municipal System | Other |
| | Rural Electric Co-Op | |



SGIP
401 Edgewater Place Suite 600
Wakefield, MA 01880
P: +1-781-876-8857
F: +1-781-623-0740
sgip.org

SGIP Membership Application

Membership Interest Categories

Please select one of the following interest categories. Additional descriptions of Interest Categories is available on the SGIP website: www.sgip.org.

Asset Owners

Consumer, Policy & Government

Manufacturers

Service Providers & Systems Administrators

SDOs and Consortia

Payment Information

Choose One of the Following Payment Methods

Note: Payment is due within 45 days of submission of the completed application in order to continue to be a member in "Good Standing".

CHECK: Enclose check (*Payable to SGIP; U.S. dollars*) and mail with a signed copy of this form to 401 Edgewater Place, Suite 600, Wakefield, MA 01880.

SEND INVOICE

Billing Contact

Name: _____

Address: _____

Email: _____

Phone: _____

Fax: _____

The Applicant acknowledges and agrees that, when signed and accepted by SGIP, this application represents an agreement between the parties and commits the Applicant to (i) payment of annual Membership dues and fees as determined from time to time by the Board of Directors and (ii) comply with all the terms and conditions of SGIP's Certificate of Incorporation and Bylaws (the Applicant hereby acknowledging receipt of copies of these documents) and such rules and policies as the Board of Directors and/or committees may from time to time duly adopt. If the Applicant is applying for a category of membership with dues determined by its revenues, it certifies that it has accurately reflected its revenues or annual operating budget (if a non-revenue-generating

201604



SGIP
401 Edgewater Place Suite 600
Wakefield, MA 01880
P: +1-781-876-8857
F: +1-781-623-0740
sgip.org

SGIP Membership Application

organization) in calculating the fees payable by it as selected above. It also signifies Applicant's consent to the delivery by SGIP of notices to the undersigned, and its representatives, by email or any other means of electronic transmission from time to time chosen by SGIP.

SGIP may elect to avail itself of certain protections offered by the National Cooperative Research and Production Act of 1993, as amended (the "NCRPA"), which requires disclosure of the names of all members of SGIP. Accordingly, the undersigned hereby appoints and authorizes such person who shall be the President or Chairperson or acting President or Chairperson of SGIP, as the undersigned's true and lawful attorney-in-fact solely to (1) notify government agencies of the undersigned's membership in SGIP, as and when required by the NCRPA, (2) make, approve the form of, execute and deliver filings with government agencies on behalf of SGIP and on behalf of the undersigned as a member of SGIP indicating such membership, as and when required by the NCRPA, (3) receive notifications pursuant to the NCRPA on behalf of SGIP and on behalf of the Applicant as a member of SGIP, and (4) authorize and direct other officers of, and/or counsel to SGIP, to do any of the foregoing acts, as and when required by the NCRPA. SGIP will forward to the undersigned any notifications that it receives which are other than normal confirmations of filings and other administrative notices relating to all members.

This application and Applicant's obligations hereunder may be terminated by Applicant at any time by providing written notice of termination to SGIP. Applicant acknowledges that any fees due or paid for the remaining months of the annual membership period will **not** be refunded if membership is terminated before the end of the annual membership period.

Applicant's above-noted Primary Membership Contact will be the individual to which all legal and financial notices from SGIP will be sent via e-mail unless directed otherwise.

Applicant Authorization:

Accepted:

SGIP

By: _____
(signature)

By: _____
(signature)

Name: _____

Name: _____

Title: _____

Title: _____

Email: _____

Date: _____

Phone: _____

Date: _____



SGIP
 401 Edgewater Place Suite 600
 Wakefield, MA 01880
 P: +1-781-876-8857
 F: +1-781-623-0740
sgip.org

SGIP Membership Application

Membership Benefits	Membership Level			
	Supporter \$1,000	Associate \$3,000	Affiliate \$10,000	Principal \$20,000
Access to conduct or cohost a free webinar			✓	✓
Free access to SGIP F2F meetings				1
Free registration to Annual Conference				1
Ability to vote on standards	✓	✓	✓	✓
Peer-to-peer networking opportunities	✓	✓	✓	✓
A vote on technical issues by participating in Committees & Task Groups	✓	✓	✓	✓
Company name on website	✓	✓	✓	✓
Access to SGIP web portal	✓	✓	✓	✓
Can vote on Board of Directors & serve on Nominating & Governance Committee	✓	✓	✓	✓
Can be a candidate for the Board of Directors	✓	✓	✓	✓
Access to Board materials	✓	✓	✓	✓
Member committee chair or vice chair candidate right	✓	✓	✓	✓
Right to serve as a liaison to other orgs for SGIP	✓	✓	✓	✓
Use of SGIP Logo	✓	✓	✓	✓
Propose specifications	✓	✓	✓	✓
Receive Member Newsletter	✓	✓	✓	✓
Participation in Interoperability events	✓	✓	✓	✓
Co-marketing opportunities			✓	✓
Discount to Annual Conference	✓	✓	✓	✓
Membership access	10	10	20	Unlimited
Eligibility for Member spotlight			✓	✓
Dedicated SGIP Staff hours to support member projects			12	40
Initiate work on Working Groups		✓	✓	✓
Access to technical support, training, & tools that facilitate more effective design & implementation of OpenFMB		✓	✓	✓
	Companies w/less than 5 Employees, Universities, & Regulators	Small Businesses, Government, Associations, ISO, Coop or Municipal, International	Affiliate	



Advancing Grid Modernization

SGIP 2.0, Inc.
401 Edgewater Place, Suite 600
Wakefield, MA 01880
Phone: +1-781-876-8857
Email: info@sgip.org

SIGN IPR Policy

SGIP 2.0, INC.

INTELLECTUAL PROPERTY RIGHTS POLICY

As approved by the Board of Directors of SGIP 2.0 as of October 2, 2012

Sign IPR Policy Here: <http://www.sgip.org/ipr-acknowledgement/>

All Members, all Representatives, and all third parties participating in any SGIP 2.0, Inc. ("SGIP 2.0")

Working Group meeting or activity, are subject to this Intellectual Property Rights Policy ("Policy").



Advancing Grid Modernization

SGIP 2.0, Inc.
401 Edgewater Place, Suite 600
Wakefield, MA 01880
Phone: +1-781-876-8857
Email: info@sgip.org

SGIP 2.0, INC.

INTELLECTUAL PROPERTY RIGHTS POLICY

As approved by the Board of Directors of SGIP 2.0 as of October 2, 2012

- 1. 1.0 General
- 2. 1.1 Applicability

All Members, all Representatives, and all third parties participating in any SGIP 2.0, Inc.

("SGIP 2.0") Working Group meeting or activity, are subject to this Intellectual Property Rights Policy ("Policy").

1.2

Definitions

Term	Definition
Intellectual Property Rights	Patents and/or patent applications, copyrights, trademarks and trade secrets, anywhere in the world.
Member	A SGIP 2.0 Member of any class described in the SGIP 2.0 By-laws.
Representative	Any individual that acts on behalf of a Member in connection with a Working Group.
Submission	An affirmative and knowing contribution of materials protected by copyright with the intention that such materials be considered for inclusion in a SGIP 2.0 Work Product. A Submission, in oral, written, or electronic form, may be made: as a result of an unsolicited offer to SGIP 2.0 by a Member or third party; or in response to a general SGIP 2.0 request for proposals or submissions; or from a Representative or third party at any time in the course of his or her participation in a Working Group.
Submitter	Both a Member as well as any Representative(s) of a Member, and any other person or entity making a Submission.
Working Group	Any working group, committee, task force, or other Member collaborative activity hosted or organized by SGIP 2.0.
SGIP 2.0 Work Product	Any text document or other copyrightable work created by a Working Group, which may include one or more Submissions, a combination or derivative works thereof, and materials created for or by SGIP 2.0..



**SGIP 2.0, Inc.
Intellectual Property Rights Policy**

2.0 2.1

SGIP Patent Policy
Inclusion of Patents in SGIP-Identified Products

Some SGIP 2.0-identified products may include the use of an essential patent claim (one whose use would be required for compliance with that standard) if technical reasons justify this approach. If SGIP receives a notice that a proposed or an approved SGIP product may require the use of such a patent claim, the procedures in the following sections will be followed.

2.2 Statement from patent holder

SGIP 2.0 will request from the patent holder or a party authorized to make assurances on its behalf, in written or electronic form an assurance that a license to such essential patent claim(s) will be made available to applicants desiring to utilize the license for the purpose of implementing SGIP 2.0 product either:

- (a) On a non-discriminatory basis and under reasonable terms and conditions; or
- (b) Without compensation and under reasonable terms and conditions that are demonstrably free of any unfair discrimination.

If the patent holder or party authorized to make assurances on its behalf does not agree to these terms, then this decision will be documented clearly. As it may pose risks to the implementation of the Smart Grid, this decision will be seriously considered by SGIP 2.0 in any related activity or vote.

2.3 Record of statement

A record of the patent holder's statement will be retained in SGIP 2.0 files and posted on-line.

2.4 Notice

When SGIP 2.0 receives from a patent holder the assurance set forth in 2.2 above, the expected result will include a note substantially as follows:

NOTE – The user's attention is called to the possibility that compliance with this expected result may require use of an invention covered by patent rights.



**SGIP 2.0, Inc.
Intellectual Property Rights Policy**

By publication of SGIP 2.0 expected results, no position is taken with respect to the validity of any such claim(s) or of any patent rights in connection therewith. If a patent holder has filed a statement of willingness to grant a license under Section 2.2, details may be obtained from the patent holder.

2.6 Responsibility for identifying patents

SGIP 2.0 is not responsible for identifying patents for which a license may be required for use of an SGIP 2.0 expected result or for conducting inquiries into the legal validity or scope of those patents that are brought to its attention.

3.0 Copyrights

Copyright in materials produced prior to Membership in SGIP 2.0 remains the property of the copyright owner. However, copyrighted materials offered for incorporation into SGIP outputs must be made available on a royalty-free basis.

Standards developers whose standards are referenced in SGIP 2.0 outputs retain copyright ownership and control of the standards themselves.

3.1. Copyright in Specifications

The copyright for all SGIP 2.0 Work Products shall belong to SGIP 2.0.

3.2 Contributions of Copyrighted Materials

(a) Each Submitter grants SGIP 2.0 a non-exclusive, irrevocable, worldwide, perpetual, royalty-free license under the Submitter's copyright or copyright license rights in its Submission to reproduce, distribute, publish, display, perform, and create derivative works based on all or any portion of the Submission only for the purposes of developing and distributing, both directly and through intermediaries, SGIP 2.0 Work Products under SGIP 2.0's own copyright.

(b) Upon approval of such SGIP 2.0 Work Products by SGIP 2.0, each Submitter further grants to SGIP 2.0 a non-exclusive, non-transferable, irrevocable, worldwide, perpetual, royalty-free, sublicensable license under the Submitter's copyrights, owned or licensable by such Submitter, in its Submission to (i) use, copy, distribute and make derivative works of any such Submission included, in whole or in part, in such approved SGIP 2.0 Work Products, (ii) implement such approved SGIP 2.0 Work Products and derivative works for purposes of implementing a specification, standard or comparable deliverable, and (iii) use, make, reproduce, sell, distribute, import or transmit implementations of such approved SGIP 2.0 Work Products and derivative works for such purposes.



SGIP 2.0, Inc.
Intellectual Property Rights Policy

(c) The rights granted by Submitter to SGIP 2.0 include in each case the rights (i) to sublicense the rights described in Section 3.2 (a) and Section 3.2 (b) consistent with SGIP 2.0's policies and procedures and (ii) to copyright and to sell in SGIP 2.0's name any SGIP 2.0 publication even though the SGIP 2.0 publication may contain the Submission or a derivative work thereof.

(d) Subject to the rights granted herein, all right, title and interest in and to an individual Submission shall remain with the Submitter. Nothing contained in the Submission shall, except as expressly provided in this Policy, be construed as conferring by implication, estoppel, or otherwise, any license or right under (i) any existing or later issuing patent, whether or not the use of information in the document necessarily employs an invention of any existing or later issued patent, (ii) any copyright, (iii) any trademark, or (iv) any other intellectual property right.

3.3 Submissions

(a) By making a Submission, each of the Submitter and its Representative(s) represents and warrants:

(i) The Representative is authorized to make the Submission on behalf of the Submitter, and to make the representations and warranties contained in this Section 3.3.

(ii) The Submitter owns the Submission and/or has all rights necessary to make the Submission and to grant the license rights required under Section 3.2 of this Policy

(iii) To the best of its knowledge, the Submitter has not failed to disclose the copyright interest of a third party infringed by the Submission (but any further warranty of non-infringement is expressly disclaimed).

(b) A third party participating in any Working Group that contributes a Submission makes, by virtue of the Submission, the same representations and warranties on behalf of himself/herself and the entity he or she represents. The third party, and the represented entity, may be required to make a signed declaration to this effect in a form satisfactory to SGIP 2.0.

4.0 Trade Secrets

Representatives, Members, and third parties will not be expected to reveal trade secret information in the course of participating in any SGIP 2.0 activity, nor will they be asked by SGIP 2.0 to sign non-disclosure agreements. Unless otherwise agreed to in a separate written agreement, SGIP 2.0 will not be held responsible for the disclosure of any Member or non-Member's trade secrets which any Member or non-Member shall disclose to SGIP 2.0 in the course of its participation in these activities, regardless of the circumstances.



SGIP 2.0, Inc.
Intellectual Property Rights Policy

1. 5.0 Trademarks
2. 5.1 SGIP 2.0 Trademarks

Trademarks created by SGIP 2.0, registered or otherwise, are the property of SGIP 2.0.

Use of SGIP 2.0 trademarks shall be governed by such policies, procedures and guidelines as may be established and approved by SGIP 2.0 from time to time, and applicable law.

5.2 Non-SGIP 2.0 Trademarks

SGIP 2.0's use of Member and third-party trademarks, registered or otherwise, shall be governed by the By-Laws of SGIP 2.0, and by such policies, procedures, and guidelines as may be established and approved by the owners of such trademarks, and applicable law.

6.0 Effectiveness and Amendment

The initial version of this Policy shall take effect on its date of approval by the Board of Directors of SGIP 2.0. Any amendment to this Policy may be adopted as provided in the By-laws of SGIP 2.0, but in any event shall not take effect less than 60 days after notice of its adoption has been distributed to all Members (or on such later date as the Board of Directors may decide).